

Meeting Title	Board of Directors		
Date	10 March 2022	Agenda item	Bo.3.22.18

2022/23 Financial and Operational Plan and Budget Setting Process

Presented by	Matthew Horner, Director of Finance Sajid Azeb, Chief Operating Officer Pat Campbell, Director of Human Resources	
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Lead Director	Matthew Horner, Director of Finance Sajid Azeb, Chief Operating Officer	
Purpose of the paper	To present the Board of Directors with assurance that a robust process is in place to meet the requirements of the 2022/23 NHS Planning Guidance	
Key control		
Action required	To note (for discussion and comment)	
Previously discussed at/ informed by		
Previously approved at:	Academy/Group	Date
Key Options, Issues and Risks		
<p>The attached slides summarise Trust arrangements to ensure that it meets the requirements of the 2022/23 NHS Planning Guidance. They also set out the key points and risks relating to our plans for Workforce, Performance & Activity and Finance.</p> <p>Failure to develop robust plans and meet the submission timetable set by NHSE/I would adversely impact on Trust performance and our ability to contribute to the achievement of national NHS targets. It would also have a detrimental effect on planning and delivery at a Place and ICS level.</p>		
Analysis		
<p>In order to ensure that our planning process is sound, fully involves the correct subject matter experts and results in realistic and robust plans we have instituted a Planning Submission Group. This group is chaired by the Director of Finance, oversees the development of plans and monitors progress towards plan submission dates. It reports regularly to the Executive team.</p> <p>Running alongside the work of this Group, Executive Directors have undertaken a separate exercise to ensure that all key areas of the planning guidance are covered by ongoing or planned work within their portfolios. Areas of cross-over have been identified and responsibilities are understood.</p> <p>This exercise and the work of the Planning Submission Group has provided Executive Directors with assurance that the Trust has adequate shared capacity to deliver the requirements of the NHS Planning Guidance.</p>		
Recommendation		
Board members are asked to note and discuss the process described in the attached slides.		

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Risk assessment						
Strategic Objective	Appetite (G)					
	Avoid	Minimal	Cautious	Open	Seek	Mature
To provide outstanding care for patients			g			
To deliver our financial plan and key performance targets			g			
To be in the top 20% of NHS employers					g	
To be a continually learning organisation				g		
To collaborate effectively with local and regional partners					g	
The level of risk against each objective should be indicated. Where more than one option is available the level of risk of each option against each element should be indicated by numbering each option and showing numbers in the boxes.	Low		Moderate	High	Significant	
	Risk (*)					
Explanation of variance from Board of Directors Agreed General risk appetite (G)	The Dashboard demonstrates a number of areas where risk is at variance with the risk appetite and defined risk tolerance of the Trust. The Strategic Risk Register reflects these risks and describes the current mitigation.					

Benchmarking implications (see section 4 for details)	Yes	No	N/A
Is there Model Hospital data relevant to the content of this paper?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Is there any other national benchmarking data relevant to the content of this paper?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Is the Trust an outlier (positive or negative) for any benchmarking data relevant to the content of this paper?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

Risk Implications (see section 5 for details)	Yes	No
Corporate Risk register and/or Board Assurance Framework Amendments	<input checked="" type="checkbox"/>	<input type="checkbox"/>
Quality implications	<input checked="" type="checkbox"/>	<input type="checkbox"/>
Resource implications	<input checked="" type="checkbox"/>	<input type="checkbox"/>
Legal/regulatory implications	<input checked="" type="checkbox"/>	<input type="checkbox"/>
Diversity and Inclusion implications	<input type="checkbox"/>	<input type="checkbox"/>
Performance Implications	<input checked="" type="checkbox"/>	<input type="checkbox"/>

Regulation, Legislation and Compliance relevance	
NHS Improvement: (please select those that are relevant)	
<input type="checkbox"/> Risk Assessment Framework	<input type="checkbox"/> Quality Governance Framework
<input type="checkbox"/> Code of Governance	<input type="checkbox"/> Annual Reporting Manual
Care Quality Commission Domain:	
Care Quality Commission Fundamental Standard:	
Other (please state):	

Relevance to other Board of Director's Academy:

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(please select all that apply)			
People	Quality	Finance & Performance	Other (please state)
<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>